



THE ROCKEFELLER UNIVERSITY
Office of Technology Transfer
1230 York Avenue, Box-138, New York, NY 10065

To request materials from The Rockefeller University, please complete this form and return by email to mta@rockefeller.edu.

The Office of Technology Transfer (OTT) will draft the appropriate agreement and send it to the contact person identified below. For questions, please contact the OTT at mta@rockefeller.edu.

1. Date:

2. RU Head of Laboratory:

First Name:

Last Name:

3. Material Requested
and Brief Description of
Research to be
Conducted:

4. Requesting Institution
Name and Address:

a) Institution Type: Academic Company

b) Requestor Name: First Name:

Last Name:

c) Lab PI Name: First Name:

(if different from requestor)

Last Name:

d) Contact Email:

e) Requestor Phone:

f) Requestor Address:

g) Lab PI Address:

(if different from requestor)

FOR ROCKEFELLER UNIVERSITY LAB COMPLETION

Please provide answers to the following questions. You may use additional sheets if you require more space for your answers. (Note: To avoid unnecessary delays, please ensure that all applicable questions are answered as completely as possible.)

General Questions:

- 1) Do you wish to limit the use of the Material to the specific Research described on pg. 1?
Yes No

- 2) Was all the Material independently developed by you or under your direction at RU?
Yes No
If the Material incorporates or is derived from materials obtained from a third party, please provide the third party information:
Was the third-party material received under an MTA? Yes No
Was the third-party material purchased? Yes No
Has the third-party provided permission for this transfer? Yes No
If "Yes", please provide documentation to the OTT.
Has the third-party restricted any type of transfer? Yes No

- 3) Will you be sharing confidential/unpublished information with the Material?
Yes No

- 4) Have you published on this Material?
Yes No

- 5) Do you want to receive copies of the Recipient's Research results obtained through the use of the Material?
Yes No

- 6) Is the Material being used in the Research related to an RU Technology Disclosure/Patent?
Yes No
If "Yes", please indicate which Technology Disclosure/Patent:

- 7) Please describe any other restrictions you'd like to place on the use of the Material:

Export Control:

- 1) Will the requested material(s) be shipped outside of the U.S.? Yes No
If Yes, is the requested material(s):
 - a pathogen or genetic element of a pathogen or toxin listed on the Commerce Control List (CCL) in Category 1 at ECCNs 1C351 through 1C354, and/or
 - a chemical listed on the CCL at ECCN 1C350. Here is the link:Yes No

For Biological Materials:

- 1) What is the original source of the Material (i.e. human/animal/plant)? For animals, please specify species.

- 2) Is the Material hazardous? Yes No

For Human Materials:

- 1) Is the Material of direct human origin? Yes No
If "Yes", has the RU IRB been contacted? Yes No
(Please provide the Protocol Number and IRB Approval or Exemption Letter.)

- 2) Does the informed consent form allow for this transfer? Yes No

- 3) Will the Material be de-identified before shipping? Yes No
Are they de-identified and coded? Yes No